Semantic integration in videos of real-world events: An electrophysiological investigation

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Abstract
Event-related potentials (ERPs) discriminated between contextually appropriate and inappropriate objects appearing in video film clips of common activities. Incongruent objects elicited a larger negative-going deflection which was similar to the N400 component described previously in association with words and static pictures and which has been argued to reflect the integration of semantic information into a mental representation of the preceding context. The onset of this potential occurred by 300 ms after object presentation, indicating that semantic integration is a rapid online component of real-world perception. In contrast to previous studies, the anomaly effect in videos was reversed in polarity at the posterior electrode sites suggesting that the perceptual and cognitive processes mediating comprehension of movies are non-identical to those utilized in comprehending static pictures and language.

Descriptors: ERPs, N400, P600, Video perception

Comprehension of real-life scenes, for example when observing another person do dishes, depends on the ability to rapidly integrate a continuous flow of visual information into 'higher order' representations of meaning (Johnson-Laird, 1983). How and when this occurs in the brain, however, remains largely unknown. Here we report the results of an experiment that attempted to address these questions by recording event-related potentials (ERPs) while subjects viewed short video depictions of everyday events.

In the language domain, there is a wealth of evidence that ERPs are sensitive to online processes involved in the interpretation of sequentially presented words (see Kutas & Van Petten, 1994). One ERP component, the N400, appears to be particularly sensitive to semantic contextual processes. The N400 has been described in association with words that do not fit with a preceding context in word pairs (e.g., Holcomb, 1993), sentences (e.g., Kutas & Hillyard, 1980) and larger texts (e.g., van Berkum et al, 1999). Perhaps the most

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widely accepted account of the N400 argues that its amplitude is proportional to the 'difficulty' or mental effort involved in integrating an item's meaning into the surrounding semantic context (e.g., Holcomb, 1993).

There have been several analogous studies of contextual integration of visually presented images. In these studies an enhanced N400 has been elicited to critical picture stimuli that were mismatched the context with a single picture in priming paradigms (e.g., Barrett & Rugg, 1990; McPherson & Holcomb, 1999), successively presented pictures that conveyed stories (West and Holcomb, submitted), or within written sentences ending in a picture (e.g., Ganis et al, 1996). Moreover, in some of these studies a second and earlier negativity, the N300, has also been reported to overlap the more traditional N400 (e.g., McPherson & Holcomb, 1999). This earlier negativity, which has not been observed to linguistic stimuli, has been found to have a somewhat more frontal distribution and may reflect image-specific semantic processing (e.g., McPherson & Holcomb, 1999).

It could be argued that although humans frequently do process static pictures such as those presented in the above studies (e.g., in magazines and books), a much more common form of visual comprehension involves the viewing of dynamic images juxtaposed in a continuous flow. Therefore, an important outstanding issue is whether the comprehension processes engaged during static picture viewing are the same or similar to those employed during the viewing of dynamic images. One way to achieve more naturalistic processing is to use video film clips. Watching video clips evokes perceptual experiences that are remarkably similar to those evoked during the perception of events in the real world (e.g., Levin & Simons, 2000). And while there have been no prior ERP studies using such stimuli, ERP studies of natural connected speech (e.g., Holcomb & Neville, 1991) and filmed hand and face movements of American Sign Language (Neville et al., 1997) suggest that it should be feasible to record ERPs when the critical signal extends over time, involves motion, and must be recognized within (and isolated from) a continuous sensory input.

In the current study, ERPs were recorded as participants viewed video clips of common activities in which a person manipulated an object that was either consistent or anomalous with the preceding context. For example, in one scenario, a man standing in front of a bathroom mirror applied shaving cream to his face and reached out for something. In the congruent condition, he grabbed a razor and in the incongruent condition, he grabbed a rolling pin.

The primary aim of this study was to characterize the waveforms elicited by critical objects in the video scenarios. Specifically, we wanted to know if there are ERP components with similar functional properties to those elicited in previous picture and word studies (i.e., whether incongruent scenes elicit larger negativities than congruent scenes) and, if so, whether these differences are time-locked to the earliest possible point of anomaly detection (i.e., when an anomalous object first appears in the scene). This latter point is important because a recent neuroimaging study (Zacks et al., 2001) in which subjects viewed videos of real-world events has shown local brain activations to the boundaries between depictions of different action components. This finding suggests that the visual signal during event perception is parsed into simple action units. However, fMRI has a temporal resolution of seconds rather than milliseconds and therefore can give only a rough estimate of the timing of semantic processing.

METHODS

Sixteen right-handed native English-speaking volunteers (9 females, 7 males; mean age = 18.5 years) served as participants.

The stimuli were 80 pairs of color video film clips, each of which conveyed a

simple plot involving a single character manipulating several real objects. All clips depicted typical real-life situations (e.g., shaving, cooking, etc). They were filmed using a digital video camera (Cannon model GL1), stored on digital video tape and later, were transferred to a computer for editing and presentation. Clips were between 7-28 sec in duration (mean = 16 sec) and were presented without sound at a rate of 30 frames per second on a 17 inch computer monitor. All frames subtended approximately 4^{o} of visual angle, and were centered on a black background.

All clips were structured in a similar way: in the beginning one or more events were presented as a context (e.g., a character standing in the bathroom in front of the sink and mirror applied shaving cream to his face) and near the end of the clip the character manipulated a target object (e.g., stroked a razor across his face). We were careful to ensure that target objects (e.g., razor) did not appear in the clip until a "critical point" (e.g., until the character reached out for something and brought the razor into the scene). This critical point of the object's first discernable appearance (e.g., when an end of the razor's handle became visible) was determined by examining each clip, frame-by-frame, using a digital editing software (Ulead Media Studio Pro 6.0), and subsequently was used to time-lock ERP recording.

The two clips in a pair had the same lead-in context but had different endings. At the end of a congruent clip, the character used an object that was consistent with the context, while in the incongruent clip an unconventional object was used to perform the same action (e.g., the character stroked a rolling pin across his face in the shaving scenario). An object used in the incongruent condition in one pair was used in the congruent condition in another pair. The clips were arranged into two lists, each consisting of 40 congruent and 40 incongruent items. The assignment of clips and target items to lists was such that no clip context or target object was included twice in one list, although across lists all contexts and all target objects appeared in both the congruent and incongruent conditions. Half of the participants viewed list 1 and half viewed list 2.

Participants were instructed to decide whether each clip showed a scenario that one would witness in everyday life by pressing a 'Yes' or 'No' button at the '?' prompt that appeared 100 ms after the offset of the final frame of the clip. After the response a fixation cross remained on the screen between the trials. Participants pressed a button to start presentation of each subsequent clip. Six additional clips were used in a practice block prior to the experimental run.

The electroencephalogram (band-pass, 0.01 to 40 Hz, 6dB cutoffs; sampling rate, 200 Hz) was recorded at 57 scalp sites (for locations see Figure 1), the outer canthi of eyes (F9/F10), below each eye (IO1/IO2), the upper mastoid bones (T9/T10), and over the right mastoid (all referenced to the left mastoid). The ERPs (epoch length = 100 ms before critical-object appearance to 1,187 ms after object appearance) were averaged off-line after the trials with ocular artifacts (activity > 60 μV below eyes or at the eye canthi) were rejected. After averaging, the ERPs were re-referenced to a mean of the left and right mastoids.

Average ERPs were quantified by calculating the mean amplitudes (relative to the 100 ms baseline preceding object appearance) within three time-windows (225-325ms, 325-600 ms, 600-900 ms after object appearance). These epochs roughly correspond to the time-windows used in many previous studies to quantify the N300, N400 and late positive complex (LPC). Six analyses of variance (ANOVAs) for repeated measures were conducted in order to examine parasagittal columns of scalp electrodes along the anterior-posterior axis of the head. All analyses had a congruity factor (congruous/incongruous) and all but midline analyses had a hemisphere factor (left/right). The midline analysis had five levels of electrode site (FPz, Fz, Cz, Pz, Oz). The inner-medial analysis had three levels of electrode site (FC1/FC2, C1/C1, CP1/CP2).

The outer-medial analysis had seven levels of electrode site (AF1/AF2, F1/F2, FC3/FC4, C3/C4, CP3/CP4, P1/P2, PO1/PO2). The inner-lateral analysis had seven levels of electrode site (AF3/AF4, F5/F6, FC5/FC6, C5/C6, CP5/CP6, P5/P6, PO3/PO4). The outer-lateral analysis had seven levels of electrode site (FP1/FP2, AF7/AF8, F7/F8, FT7/FT8, T3/T4, TP7/TP8,T5/T6, PO7/PO8, O1/O2). The inferior analysis had three levels of electrode site (IO1/IO2, F9/F10, T9/T10). The Geisser-Greenhouse correction was applied to all repeated measures with more than one degree of freedom (Geisser & Greenhouse, 1959).

RESULTS

Figure 1 shows the obtained ERP waveforms and the corresponding voltage maps. ERPs to the critical objects were characterized by two potentials that started at around 200 ms after object appearance and continued until the end of the recording epoch: a negative-going wave was apparent at the more anterior sites, and a positive-going wave was evident at the more posterior sites. Early components of the visual ERPs (e.g., N1) could not be clearly seen, most likely, due to the lack of discrete visual events separated by time (i.e., the early components were likely refractory due to the continuous stimulus presentation format – e.g., Davis et al., 1966).

In the 225-325 ms epoch (N300), even though the ERPs at the frontal-central sites appeared to be more negative in the incongruent than congruent condition, this difference did not reach a conventional level of statistical significance (there was a trend towards significance of the main effect of congruity in the inner-medial analysis: $F(1,15) = 2.91$, $p = 1.00$.)

In the 325-600 ms epoch (N400), incongruent objects elicited a larger negativity at the frontal-central electrode sites as indicated by the significant congruity by electrode site interactions at midline: $F(4,60) = 3.55$, $p < .05$, $\varepsilon = .451$; inner-medial: $F(2,30) = 6.29$, $p < .05$, $\varepsilon = .607$; outer-medial: $F(6,90) = 4.38$, $p < .05$, $\varepsilon = .249$; inner-lateral: $F(6,90) = 4.51$, $p < .05$, $\varepsilon = .228$; outer-lateral: $F(8,120) = 5.20$, $p < .05$, $\varepsilon = .175$; and inferior sites: $F(2,30) = 8.70$, $p < .05$, $\varepsilon = .829$. Planned comparisons revealed that significant differences were present at frontal (Fz, F1/F2, F5/F6, F7), inferior-frontal (F9/F10, IO1/IO2), frontal-temporal (FT7/FT8), frontal-central (FC5/FC6, FC3/FC4, FC1/FC2), and central sites (Cz, C1/C2).

In the 600-900 ms epoch (LPC), the scalp areas where incongruent objects evoked more negative waveforms than congruent objects shifted to frontal-lateral sites. In addition, in this epoch potentials at the posterior electrode sites were more positive in the incongruent condition than in the congruent condition. Significant interactions between congruity and electrode site were obtained in all analyses (midline: $F(4,60) = 11.87$, $p < .01$, $\varepsilon = .401$; inner-medial: $F(2,30) = 17.05$, $p < .01$, $\varepsilon = .552$; outer-medial: $F(6,90) = 14.83$, $p < .01$, $\varepsilon = .246$; inner-lateral: $F(6,90) = 19.44$, $p < .01$, $\varepsilon = .239$; outer-lateral: $F(8,120) = 19.41$, $p < .01$, $\varepsilon = .171$; inferior: $F(2,30) = 26.76$, $p < .01$, $\varepsilon = .850$). Planned comparisons showed that the incongruent objects elicited an increased negativity at AF7/AF8, F5/F6, F7/F8, F9/F10, FT7/FT8, and IO1/IO2 sites and an increased positivity at central-parietal (CP5/CP6, CP3/CP4, CP2), temporal (T5/T6), temporal-parietal (TP7/TP8), parietal (Pz, P5/P6, P1/P2), parietal-occipital (PO7/PO8, PO3/PO4, PO1/PO2), occipital (Oz, O1/O2), and inferior-temporal sites (T9/T10).

DISCUSSION

The present data demonstrate a robust negative-going ERP elicited by objects in video depictions of everyday activities. The amplitude of this negativity was greater to contextually anomalous than to contextually appropriate objects. This

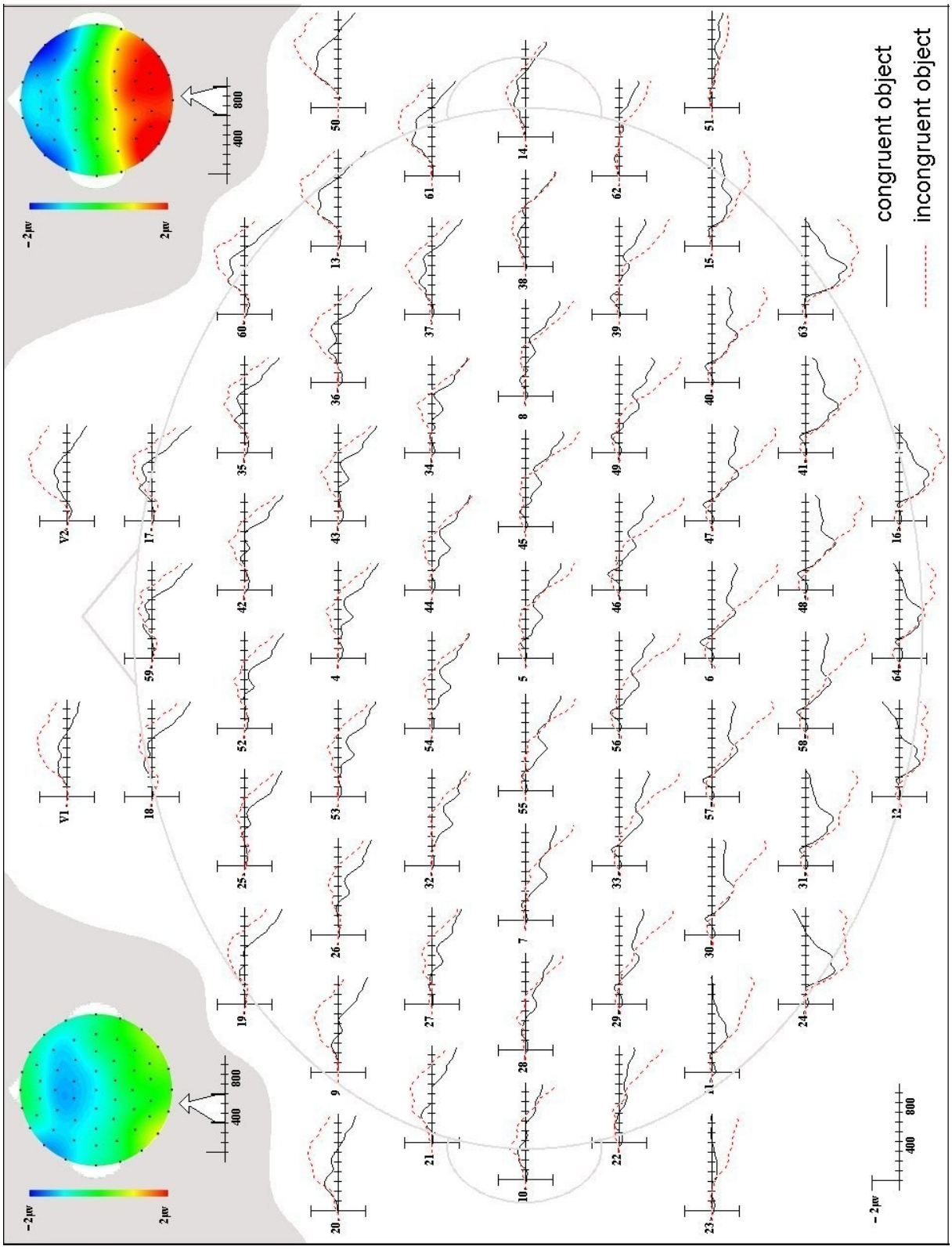

Figure 1. Average ERPs elicited by target objects in videos, and the corresponding voltage maps of the ERP differences in the N400 (top left) and LPC (top right) time-windows.

difference started about 300 ms after the critical object appeared in the video and at some sites continued until the end of the recording epoch. Overall, the morphological, functional, and temporal properties of this effect suggest that it is similar to the N400 previously reported in analogous paradigms using words and static pictures as stimuli (e.g., Kutas & Van Petten, 1994; West & Holcomb, submitted). Importantly, this N400 effect in videos started shortly after the critical objects first became visible suggesting that there is a close temporal relationship between the processes of object identification and scene comprehension during viewing of videos.

There were also several differences in the results of this experiment and previous word and picture studies, suggesting that video comprehension is not identical to comprehension of words and still images. First, the duration of the present N400 effect was greater than 600 ms at some sites. In most previous written word and picture studies this component has been reported to have a duration of between 200 to 400 ms. However, a longer N400 time-course has been observed for spoken words (e.g., Holcomb & Neville, 1991). Holcomb and Neville (1991) speculated that this lengthened N400 reflects the extended processing required by stimuli, such as spoken words, that unfold over time. A similar explanation might account for the prolonged negativity found here, as critical objects frequently took some time to be fully apparent in the scene.

Another difference between the current results and those of some previous studies is that the N400 effect for videos was more frontally distributed than the parietal-occipital N400 effect typically reported for words (e.g., Kutas & Van Petten, 1994). This anterior prominence for negativities is in keeping with a number of other studies that have used stationary picture stimuli (e.g., West & Holcomb, submitted; McPherson & Holcomb, 1999). However, in most of these studies at least part of the more anterior picture effect was attributed to an overlapping earlier negativity (the N300). In the current study there was only a trend for a difference in the N300 window. One possible explanation for the absence of a significant N300 effect is that the timing of the appearance of critical objects (and thus recognition) was somewhat more variable across the videos than across static pictures in previous studies. This likely resulted in greater variation in time-locking to critical scenes which might have in turn resulted in a somewhat smeared or shifted N300 (i.e., part of what is being identified as the N400 might actually be N300 activity). This possibility will be investigated in futures experiments by contrasting gradual and abrupt appearance of critical items within a scene.

A final difference between the findings of this study and previous word and picture studies is the dramatic shift in polarity of the anomaly effect at posterior sites. At posterior sites critical scenes in anomalous videos were actually more positive than comparable scenes in congruent videos, although the onset of this posterior-positivity effect was somewhat later than the frontal negativity effect (500 vs. 300 ms). There are at least two possibilities for this reversal. One possibility is that the posterior portion of the N400 effect was cancelled out by an overlapping late positivity, such as the decision P3 (see Donchin & Coles, 1988). According to this view detection of the anomalous scene might allow viewers to rapidly decide that this was an anomalous video while no such decision was possible at the comparable point in congruent videos. This explanation seems plausible, especially considering that the task required participants to actively classify each video as congruent or anomalous. However, a recent follow-up study casts doubt on this explanation. In this study, which was otherwise procedurally identical to the current study, participants did not actively classify the two types of scenarios, but instead answered occasional questions about content that had nothing to do with critical scenes in the videos. Without the classification requirement there is no reason for participants to have actively

differentiated the videos and therefore there should not have been as large of a decision P3 effect for the anomalous videos. Nevertheless, a similar pattern of larger posterior positivities for anomalous scenes was found in the follow-up experiment.

Another possibility for the large posterior positivity effect is that it might reflect participants' detection of a different kind of violation in the anomalous videos, one that is not necessarily semantic in nature. Although the presence of an anterior N400 effect strongly suggests that participants found our anomalous endings to videos to be semantic in nature, it is possible that these items also found to be anomalous along another dimension. In the language processing literature a late positivity, the P600, has been reported to a variety of syntactic processing difficulties (e.g., Osterhout & Holcomb, 1992). A similar effect has also been reported in at least one study of syntactic violations in music (Patel et al., 1998), suggesting that the P600 is not necessarily specific to language. We intended for all violations in the current study to be semantic in nature. However, considering the manner in which these violations were constructed (by including a novel object in an otherwise congruent scene), it is possible that in addition to causing problems in semantic integration, which account for the larger N400s, our anomalies might also have resulted in difficulties of a more structural ('syntactic') nature, thus accounting for the larger late positivity. We are actively pursuing this possibility in a current line of research.

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